

# CS 121 Midterm Solutions

October 17, 2013

Answer all questions in your exam booklet, not on this sheet. There are more problems on the back of the page. 75 points total.  $\Sigma = \{a, b\}$  unless otherwise specified.

## PROBLEM 1 (12 points)

True or False (1 point each, no explanations).

- (a)  $\emptyset \subseteq \{\emptyset, a, b\}$
- (b)  $\emptyset \in \{\emptyset, a, b\}$
- (c)  $|\mathcal{P}(\emptyset)| = 0$ .
- (d) For any two languages  $L_1$  and  $L_2$ , if  $L_1 \cup L_2$  is regular, then  $L_1$  and  $L_2$  are regular.
- (e) For any two languages  $L_1$  and  $L_2$ ,  $L_1^* \circ L_2^* \subseteq (L_1 \cup L_2)^*$ .
- (f) If  $L_1$  and  $L_2$  are *finite* languages, then  $|L_1 \circ L_2| = |L_1| \cdot |L_2|$ . (“ $\circ$ ” denotes multiplication.)
- (g) If  $L$  is regular and  $L'$  is not regular, then the language  $L \circ L'$  is not regular.
- (h) If  $M$  is any NFA with  $n$  states, then there is a DFA for  $\mathcal{L}(M)$  with at most  $n^2$  states.
- (i) Every non-regular language is a union of countably many regular languages.
- (j) Every language is either finite or cofinite.
- (k) There are countably many context-free languages.
- (l)  $\{a^n w b^n : n \geq 0, w \in \Sigma^*\}$  is context-free but not regular.

**Solution:** (a) T (b) T (c) F (d) F (e) T (f) F (g) F (h) F (i) T (j) F (k) T (l) F

## PROBLEM 2 (10 points)

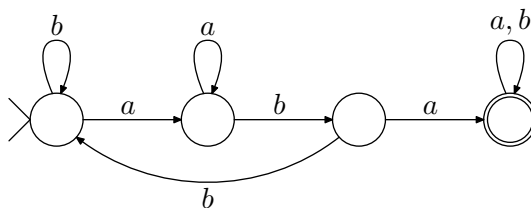
Consider the following binary relation on sets:  $X$  **COVERS**  $Y$  if there is an surjective (onto) function from  $X$  to  $Y$ . Prove that **COVERS** is reflexive but not symmetric.

**Solution:** **COVERS** is reflexive. Given any set  $X$ , the identity function  $id : X \rightarrow X$  where  $id(x) = x$  for all  $x \in X$  is an onto function from  $X$  to itself. **COVERS** is not symmetric. The function  $f : \mathbb{N} \rightarrow \{1\}$  defined by  $f(x) = 1$  for all  $x \in \mathbb{N}$  is onto, but there is no onto function from  $\{1\}$  to  $\mathbb{N}$ .

PROBLEM 3 (5 points)

Construct a DFA for the language  $L = \{w : w \text{ contains the substring } aba\}$ . You need not prove that your construction is correct.

**Solution:**



PROBLEM 4 (5 points)

String  $s$  is a **subsequence** of string  $w$  iff the symbols of  $s$  appear in the same order in  $w$ , but not necessarily consecutively. Write a regular expression for  $L = \{w : aba \text{ or } bb \text{ is a subsequence of } w\}$

**Solution:**  $(\Sigma^* a \Sigma^* b \Sigma^* a \Sigma^*) \cup (\Sigma^* b \Sigma^* b \Sigma^*)$

PROBLEM 5 (10 points)

Explain how to determine, given a DFA  $M$ , whether  $\mathcal{L}(M) = \Sigma^*$ .

**Solution:** A DFA  $M$  accepts  $\Sigma^*$  if and only if all the reachable states of  $M$  are accept states. Another acceptable answer is that  $M$  accepts  $\Sigma^*$  if and only if the result of minimizing  $M$  is a DFA isomorphic to the minimal DFA for  $\Sigma^*$ . An unacceptable answer converts  $M$  to a regular expression  $R$  and then claims without proof that it's any easier to figure out if  $R$  generates  $\Sigma^*$ .

PROBLEM 6 (8 points)

Show that if  $L_1$  and  $L_2$  are regular languages, then  $L = \{w : w \in L_1 \text{ or } w \in L_2 \text{ but not both}\}$  is also a regular language.

**Solution:**

$$\begin{aligned} L &= (L_1 \cup L_2) \setminus (L_1 \cap L_2) \\ &= (L_1 \cup L_2) \cap (L_1 \cap L_2)^c \end{aligned}$$

It follows from the closure of regular languages under union and intersection that  $L_1 \cup L_2$  and  $L_1 \cap L_2$  are regular, so that the desired result follows from the closure of regular languages under intersection and complement.

PROBLEM 7 (5 + 10 points)

- (a) Show that the set of strings with exactly two  $a$ 's is regular by presenting an appropriate DFA or regular expression (no proof needed).
- (b) Prove that the set of all strings with exactly two more  $a$ 's than  $b$ 's is not regular.

**Solution:**

- (a)  $b^*ab^*ab^*$
- (b) Let  $L$  be the set of all strings with exactly two more  $a$ 's than  $b$ 's; suppose for the sake of contradiction that it is regular. Then, it has some pumping length  $p$ . Consider the string  $a^{p+2}b^p$ ; since it has length greater than  $p$ , we know it can be pumped. However, by the conditions of the pumping lemma, if we write  $a^{p+2}b^p = xyz$ , then  $|xy| \leq p$  means that  $x$  and  $y$  consist of only  $a$ 's. Consequently,  $xy^2z$  contains  $p + 2 + |y|$   $a$ 's but only  $p$   $b$ 's, so it is outside the language, a contradiction.

PROBLEM 8 (5 + 5 points)

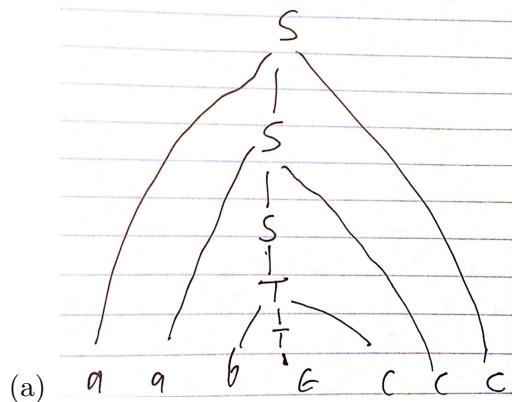
Consider the context-free grammar  $G = (\{S, T\}, \{a, b, c\}, R, S)$ , where  $R$  is the following set of rules:

$$S \rightarrow aSc \mid T$$

$$T \rightarrow bTc \mid \varepsilon$$

- (a) Draw the parse tree for  $aabccc$ .
- (b) Describe  $\mathcal{L}(G)$  simply.

**Solution:**



- (b) The language with the same number of  $a$ 's and  $b$ 's together as the number of  $c$ 's in alphabetical order. More formally:

$$\mathcal{L}(G) = \{a^m b^n c^{m+n} : m, n \geq 0\}$$

THE END